



WIINANCE FINANCIAL SERVICES PVT. LTD.

ACCOUNT OPENING FORM

Registration (Cash, F&O, Currency & MCX)

Branch Code:

Client Name:

Client Code:

DP A/c No.

1	2	0	8	3	5	0	0										
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A/c Opening Date:

Form No:



WIINANCE FINANCIAL SERVICES PVT. LTD. (WFSPL)

Member:

Bombay Stock Exchange Limited (BSE)
National Stock Exchange of India Limited (NSE)
Central Depository Services Limited (CDSL)
The Multi Commodity Exchange of India Limited (MCX)

Cash, F&O, Currency Segment, MCX and MF

NSE TM Code: 90018 | BSE TM Code 6549 | MCX TM No.: 57080 | AMFI Reg. No.: ARN 263511
SEBI Registration No.: INZ000239038 | Date: 03/12/2021

Whereby inform you that we are doing Proprietary Trading

Clearing Member Details:

NSE & BSE F&O And Currency
Globe Capital Market Limited
609 Ansal Bhawan 16,
K.G Marg New Delhi 110001.
SEBI Reg No.: INZ000177137

MCX: Commodity Derivatives
Globe Capital Market Limited
609 Ansal Bhawan 16,
K.G Marg New Delhi 110001.
SEBI Reg No.:INZ000177137

CDSL-Depository Participant

SEBI Registration No.: IN-DP-CDSL-275-2016 Date: 08/07/2016 | CDSL DP ID: 12083500.

Compliance Officer Name: Mr. Kapil Vashishtha - Tel.: 022 69006001, Email: compliance@wiinance.com

Director Name: Mr. Achal Daga - Tel.: 022 69006000, Email: contact@wiinance.com

For any grievance/dispute please contact Wiinance Financial Services Private Limited at the below address or email: grievance@wiinance.com and Phone no.+91 9769004000

If not satisfied with response or your grievance is still unresolved you may register your complaint to the SEBI on the SCORES website (link: <https://scores.gov.in/scores/welcome.html>)

Please contact the concerned exchange(s):

BSE Email: is@bseindia.com & Tel No: (022) 22728097

NSE Email: ignse@nse.co.in & Tel No: (022) 26598190

CDSL Email: complaints@cdslindia.com & Tel No: (022) 22723333

MCX Email: grievance@mcxindia.com & Tel No: (022) 67318888

Registered Address:

Harsh Tower, Plot no 8, Main Gopalpura Bypass,
Triveni Nagar Mode, Jaipur 302019, Rajasthan, India.
Email: contact@wiinance.com

Correspondence Address:

701, Embassy Chamber, 3rd Road Khar (w),
Opp Simran Plaza, Mumbai 400052, Maharashtra, India.
Phone: +91 9769004000 , Email: contact@wiinance.com

www.wiinance.com

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SEPARATES COPY FOR CLIENT ALSO AVAILABLE ON WWW.WIINANCE.COM

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GENERAL INSRUCTION FOR FILLING UP THE CLIENTAPPLICATION FORM

1. Please fill up the form in CAPITAL Letters only. 2. Please ensure that the Form is completing all respects and required documents are self-attested and attached with the Form. 3. If the information to be furnished does not fit in the Form, separate annexures may be used. 4. Please give full details of Bank Account including Bank Name, Branch, Address and account no. In case you have more than one Bank account, kindly furnish details pertaining to all such bank accounts. Please note that no payment from the bank account other than the captioned bank account(s) shall be accepted. 5. Please submit copy of PAN along with the KYC form. 6. If client desires to do trading through authorized person, give full details of such authorized person. 7. Photograph of the all authorized signatories (in case of non-individual clients) should be affixed (not stapled) and signed across. 8. Signature can be in English or Hindi or any of the languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than in the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. 9. Details of the Name Address and Tel. No. etc. of the Magistrate/ Notary Public/ Special Executive Magistrate are to be provide in case of any attestation done by them.10. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof, must be lodged along with the application .11. We do client - based trading and also pro - trading. 12. All correspondence / queries shall be addressed to the first / sole applicant. 13. In case of any correction in the form - Sign next to the correction done.

*For F&O Segment you have to submit financial statements i.e. Balance Sheet, Networth Certificate, Bank entry for last Six Month, Self Declaration of asset and liability as on date and copy of ITR acknowledgement, for the salaried person form no. 16 of last year and Demat account holding statement. (anyone of them)

(KYC) APPLICATION FORM - INDIVIDUAL - RESIDENT

NO.: A

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com



CENTRAL KYC REGISTRY | Know Your Customer(KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please '✓' in the box section number and strike of the sections not required to be updates.
- F) Please read section wise detailed guidelines/ instructions at the end.
- G) List of State/U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

For office use only


Application Type* New Modification

To be filled by financial institution) KYC Number (Mandatory for KYC update request)

Account Type* Normal Minor EKYC OTP EKYC Biometric Online KYC Offline KYC Digilocker

1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father/ Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M-Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
PAN*	<input type="text"/>		<input type="checkbox"/> Form 60 furnished	
Nationality*	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others <input type="text"/>		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		



sign across the photo

1  Client Signature First Holder
Signature/Thumb Impression of client

2. PROOF OF IDENTITY AND ADDRESS*

I. Certified copy of or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the "Officially Valid Document" (OVD))

- A- Passport Number
- B- Voter ID Card
- C- Driving Licence
- D- NREGA Job Card
- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II. E-KYC Authentication
- III. Offline Verification of Aadhaar

Address

Line 1*	<input type="text"/>				
Line 2*	<input type="text"/>				
Line 3*	<input type="text"/>	Pin Code*	<input type="text"/>	Country*	<input type="text"/>
City/Town/Village*	<input type="text"/>	State*	<input type="text"/>	District*	<input type="text"/>

3. CURRENT ADDRESS DETAILS

Same as above mentioned address (In such cases address details as below need not to be provided)

I. Certified copy of or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the "Officially Valid Document" (OVD))

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- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II. E-KYC Authentication
- III. Offline Verification of Aadhaar
- IV. Deemend Proof of Address-Document Type Code

Address

Line 1*

Line 2*

Line 3* Pin Code* Country*

City/Town/Village* State* District*

4. CONTACT DETAILS

Mobile: OR _____ Tel. (Res)

Email ID: _____ Fax

5. REMARKS (If Any)

6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry and CVL KRA through SMS/Email on the above registered number/email address.
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar Card with readable QR Code or my Aadhar XML/ Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purpose only.

Date:

Place:

2  First Holder
Signature/Thumb Impression of client

7. ATTESTATION/ FOR OFFICE USE ONLY

- Documents Received Certified Copies E-KYC data received from UDAI Data received from Offline Verification
- Video Based KYC Digital KYC Process Equivalent e-document

KYC VERIFICATION CARRIED OUT BY/ IPV DONE BY
Date/ IPV Date: <input type="text"/>
Emp. Name:
Emp. Code:
Emp. Designation:
Emp. Branch:
Emp. Signature :

INSTITUTION DETAILS
Name: Wiinance Financial Services Private Limited
Code: <input type="text"/>
[Institution Stamp]

(KYC) APPLICATION FORM - SECOND HOLDER

NO.: A

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com



CENTRAL KYC REGISTRY | Know Your Customer(KYC) Application Form | Individual

Important Instructions:

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- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please '✓' in the box section number and strike of the sections not required to be updates.
- F) Please read section wise detailed guidelines/ instructions at the end.
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- I) KYC number of applicant is mandatory for update application.
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For office use only

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To be filled by financial institution) KYC Number (Mandatory for KYC update request)

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1. PERSONAL DETAILS

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Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father/ Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M-Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
PAN*	<input type="text"/>		<input type="checkbox"/> Form 60 furnished	
Nationality*	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others <input type="text"/>		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		

sign across the photo

1 Client Signature Second Holder
Signature/Thumb Impression of client

2. PROOF OF IDENTITY AND ADDRESS*

I. Certified copy of or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the "Officially Valid Document" (OVD))

- A- Passport Number
- B- Voter ID Card
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- D- NREGA Job Card
- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II. E-KYC Authentication
- III. Offline Verification of Aadhaar

Address

Line 1*	<input type="text"/>				
Line 2*	<input type="text"/>				
Line 3*	<input type="text"/>				
City/Town/Village*	<input type="text"/>	State*	<input type="text"/>	District*	<input type="text"/>
	<input type="text"/>	Pin Code*	<input type="text"/>	Country*	<input type="text"/>

3. CURRENT ADDRESS DETAILS

Same as above mentioned address (In such cases address details as below need not to be provided)

I. Certified copy of or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the "Officially Valid Document" (OVD))

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- B- Voter ID Card
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- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II. E-KYC Authentication
- III. Offline Verification of Aadhaar
- IV. Deemend Proof of Address-Documnt Type Code

Address

Line 1*

Line 2*

Line 3* City|Town|Village* District*

State* Pin Code* Country*

4. CONTACT DETAILS

Mobile: OR _____ Tel. (Res)

Email ID: _____ Fax

5. REMARKS (If Any)

6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry and CVL KRA through SMS/Email on the above registered number/email address.
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar Card with readable QR Code or my Aadhar XML/ Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purpose only.

Date:

Place:

2  Second Holder
Signature/Thumb Impression of client

7. ATTESTATION/ FOR OFFICE USE ONLY

- Documents Received Certified Copies E-KYC data received from UDAI Data received from Offline Verification
- Video Based KYC Digital KYC Process Equivalent e-document

KYC VERIFICATION CARRIED OUT BY/ IPV DONE BY
Date/ IPV Date: <input type="text"/>
Emp. Name:
Emp. Code:
Emp. Designation:
Emp. Branch:
Emp. Signature :

INSTITUTION DETAILS
Name: Wiinance Financial Services Private Limited
Code: <input type="text"/>
[Institution Stamp]

TRADING & DEMAT ACCOUNT RELATED DETAILS

TYPE OF ACCOUNT: (Please Tick "✓" whichever is Applicable)

STATUS	SUB -STATUS		STATUS	SUB -STATUS	
Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director	<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non Repatriable
	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual HUF -AOP		<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI Non Repatriable Promoter
	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Minor		<input type="checkbox"/> NRI Depository Receipt	<input type="checkbox"/> NRI Non Depository Receipt
	<input type="checkbox"/> Individual Margin Trading Ale.(Mantra)	<input type="checkbox"/> Other (Specify)			
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts	<input type="checkbox"/> Other (Specify)		

A. BANK ACCOUNT DETAILS : (Bank 1 will be considered for payout of funds)

Bank 1: Bank Name & Address: _____

Account No. Savings Current Other

MICR Code* IFSC Code
*9 digit no. on cheque leaf

Bank 1: Bank Name & Address: _____






Account No. Savings Current Other

MICR Code* IFSC Code
*9 digit no. on cheque leaf

B. DEPOSITORY ACCOUNT DETAILS : (DP1 details considered for payout of securities if filled)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL <input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	Wiinance Financial Services Pvt. Ltd.	
Client Name		
DP ID	1 2 0 8 3 5 0 0	
Client ID	<input type="text"/>	

C. TRADING PREFERNCES: *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges	NSE, BSE				NSE, BSE, MCX
	Cash/ Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
All Segments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					

If you do not wish to trade in any of the segments / Mutual funds, please mention here _____


If, in future, the client wants to trade on any New Segment / New Exchange, separate authorization letter will be taken.

D. PAST ACTION:


Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant / constituent or its Partners/ promoters / whole time directors/ authorized person in charge of dealing in securities during the last 3 years

Yes No If yes, please specify

E. INTRODUCER DETAILS:

Status of Introducer:	<input type="checkbox"/> Sub-broker/AP <input type="checkbox"/> Employee <input type="checkbox"/> Existing Client <input type="checkbox"/> Others (Specify):
Name of Introducer:	SB/Emp/Client Code:
Address of SB/AP:	Optional
Mobile No.:	 Signature of the Introducer


F. DEALING THROUGH SUB-BROKERS /AUTHORISED PERSON (AP) / OTHER STOCK BROKERS DETAILS:

If Yes, Please Specify:	
Name of Stock Broker:	Name of SB/AP:
Name of Exchange:	Client Code: (as given by other broker)
Details of disputes/dues pending from/to stock broker/sub-broker:	
Whether you are a Member/ Sub-broker /AP of any Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, provide SEBI REGISTRATION No.:	
I/We hereby confirm that I/we have verified the identity & bonafides of client. I/We shall also ensure that all the dealings with the clients shall be as per the prevailing Rules/ Regulations/Bye-laws/ Circulars of the Exchange and SEBI. Further I/we undertake to abide by the operational policies and procedures of WFS and also as per agreement entered with Wiinance Financial Services Pvt Ltd.	
 Signature of the SB/AP	

G. STANDING INSTRUCTION / OTHER DETAILS /EMAIL-SMS ALERT /CONTRACT NOTE PREFERENCE:


Contract Note / Holding & Transaction Statement	<input type="checkbox"/> Yes <input type="checkbox"/> No
Receive Delivery Instruction Slip	<input type="checkbox"/> Yes <input type="checkbox"/> No
Share Email ID with Register & Transfer Agent	<input type="checkbox"/> Yes <input type="checkbox"/> No
Receive Annual Report	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical <input type="checkbox"/> Both
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> Daily <input type="checkbox"/> As per SEBI Regulation
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parents
Declaration for E-mail ID	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parents
Internet Base Trading	<input type="checkbox"/> Yes <input type="checkbox"/> No
SMS	<input type="checkbox"/> Yes <input type="checkbox"/> No
Margin Trading Facility (Refer Rights & Obligation Doc)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you wish to receive dividend/interest directly in your bank account given thru ECS	<input type="checkbox"/> Yes <input type="checkbox"/> No

H. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 AND OTHER DETAILS:

Experience	Number of years of investment/ Trading Experience <input type="text"/> <input type="text"/>
Gross Annual Income	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs and above
Networth	In Rs. _____ as on Date: ____ / ____ / ____
Occupation (Please tick)	<input type="checkbox"/> Govt. Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Housewife <input type="checkbox"/> Professional <input type="checkbox"/> Private Sector <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist <input type="checkbox"/> Student <input type="checkbox"/> Others
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others
Risk Categorisation	<input type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High
Any other information	
Education/Qualification	<input type="checkbox"/> HSC <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional Degree <input type="checkbox"/> Under Graduate <input type="checkbox"/> Illiterate <input type="checkbox"/> Others
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	
Has the client ever been convicted by a court of law either for civil or criminal offence ? If yes, please specify.	
	If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my/or end (if not marked, the default option would be 'No') <input type="checkbox"/> Yes <input type="checkbox"/> No	

4  Client Signature First Holder
Signature/Thumb Impression of client

4  Client Signature Second Holder
Signature/Thumb Impression of client

4  Client Signature Third Holder
Signature/Thumb Impression of client

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

To,
Wiinance Financial Services Private Limited
 Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
 Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
 Tel.: 022-69006000, Email: contact@wiinance.com

For Individuals (To be filled by the Depository Participant)

Application No.		Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
DP Internal Reference No.			
DP ID	1 2 0 8 3 5 0 0	Client ID	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Sole/First Holder's Name		Pan:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
UID:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	UCC:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Second Holder's Name		Pan:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
UID:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	UCC:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Third Holder's Name		Pan:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
UID:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	UCC:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Name*:

*In case of Firms, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others(specify) _____

Details of Guardian(in case the account holder is minor)

Guardian's Name: _____	Pan: _____
Relationship with the applicant: _____	
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

NOMINATION FORM

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

Nominee Registration No. (To be filled in by Individual applying Single or Jointly)

Date: UCC DP ID Client ID

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.

Nomination can be made up to three nominees in the account		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	Name of the Nominee(s) Mr./Mrs.			
2	Share of each nominee	Equally (If not equally, please specify percentage)	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form.				
3	Relationship with the Applicant (if any)			
4	Address of Nominee(s) City/Place: State & Country:			
	PIN Code			
5	Mobile/Telephone No. of Nominee(s)			
6	Email ID of Nominee(s)			
7	Nominee Identification details (Please tick anyone of following and provide details of same)			
	Photograph & Signature			
	PAN			
	Aadhaar			
	Proof of Identity			
	Demat account ID			
Sr.Nos.8 to 14 should be filled only if nominee(s) is a minor:				
8	Date of Birth {in case of minor nominee(s)}			
9	Name of Guardian (Mr./Mrs.) {in case of minor nominee(s)}			
10	Address of Nominee(s) City/Place: State & Country:			
	PIN Code:			
11	Mobile/Telephone No. of Guardian(s)			
12	Email ID of Guardian(s)			
13	Relationship of Guardian with Nominee			
14	Guardian Identification details (Please tick anyone of following and provide details of same)			
	Photograph & Signature			
	PAN			
	Aadhaar			
	Proof of Identity			
	Demat account ID			

Name:

5  Client Signature First Holder

Signature/Thumb Impression of client

Name:

5  Client Signature Second Holder

Signature/Thumb Impression of client

Name:

5  Client Signature Third Holder

Signature/Thumb Impression of client

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.
Note: This nomination shall supersede any prior nomination made by the account holder(s), if any. The trading Member/ Depository Participant shall provide acknowledgement of the nomination from to the account holder(s).

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
 Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
 Tel.: 022-69006000, Email: contact@wiinance.com

Date:

UCC/DPID	
Client ID (Only for Demat Account)	<input type="text" value="1"/> <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="8"/> <input type="text" value="3"/> <input type="text" value="5"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Sole/FirstHolder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I / We do not wish to appoint any nominee(s) in my/ our trading/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/ our legal heirs would need to submit all the requisite documents/ information for claiming of assets held in my I our trading/ demat account, which any also include documents issued by Court or other such competent authority, based on the valued of assets held in the trading/ demat account

Name and Signature of Holder(s)*

5

Client
Signature

Signature/Thumb Impression of client

5

Client
Signature

Signature/Thumb Impression of client

5

Client
Signature

Signature/Thumb Impression of client

1. _____ 2. _____ 3. _____

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

TARIFF SHEET FOR TRADING AND DEMAT ACCOUNT

A. Brokerage Structure : Trading :-

Branch:	Client Code :		
	First Leg		Second Leg
CASH - TRADING			
CASH - DELIVERY			
NSE FUTURES			
NSE OPTIONS			
NSE CURRENCY			
BSE F &O			
MCX			

DP TARIFF

SCHEME PLAN

BO ID: 12083500

PARTICULAR OF CHARGES	<input type="checkbox"/> ANNUAL AMC SCHEME	<input type="checkbox"/> LIFE TIME AMC SCHEME	<input type="checkbox"/> BSDA SCHEME
One Time A/c. & POA Charges*	Rs. NIL	Rs. NIL	Rs. NIL
Annual Maintenance Charge	Rs. 300+Gst (Individual & Huf), Rs. 2000+GST (other than individual & Huf)	Rs. 1250+Gst (Individual)	Valuation up to 50K - AMC 0, 51 to 200K - AMC 100+Gst, Above 200k BSDA Change to Ind
Off Market Trade For WFSPL DP*	Rs. 15 /-, HNI 10/-	Rs. 15 /-	Rs. 15 /-
Off Market Trade Other DP*	Rs. 15 /-, HNI 10/-	Rs. 15 /-	Rs. 15 /-
On Market Auto Pay-in	Rs. 15 /-, HNI 10/-	Rs. 15 /-	Rs. 15 /-
On Market Without Auto Pay-in	Rs. 15 /-, HNI 10/-	Rs. 15 /-	Rs. 15 /-
On Market (Other CM A/c.)*	Rs. 15 /-, HNI 10/-	Rs. 15 /-	Rs. 15 /-
Dematerialisation Charge	Rs. 25/- Per Certificate	Rs. 25/- Per Certificate	Rs. 25/- Per Certificate
Rematerialisation Charge	Rs.10 /- Per 100 Securities	Rs.10 /- Per 100 Securities	Rs.10 /- Per 100 Securities
Postage Per Demat Request	Rs.30 /-	Rs.30 /-	Rs.30 /-
Pledge Creation/Invocation/Closure	Rs. 10/-	Rs. 10/-	Rs. 10/-
Margin Pledge/Unpledge Charges	Rs. 10/-	Rs. 10/-	Rs. 10/-

In case of BSDA Scheme is not applicable due to any reason, We find ineligible for BSDA Scheme after a/c. opening, DP Scheme would be changed to Non Refundable Scheme. If any discrepancy in account opening, BO shall intimate WFSPL within 30 days. Post which BO would require to give modification form for changes in account.

Notes:

- (1) Profile change - email/ mobile/ nomination/ Address etc. charged@ Rs. 0/- per request.
- (2) POA charged as 0
- (3) Additional DIS (Delivery Instruction Slip) Book shall be charged@ Rs.50/- per book and extra courier charged@ Rs. 30/-.
- (4) Urgent DIS (Delivery Instruction Slip) Book shall be charged@ Rs. 0/- per book.
- (5) On request Delivery Instruction Slip - Book for BSDA shall be issued and shall be charged@ Rs. 0/- per book and courier charge@ Rs. 0/-.
- (6) Additional statement of Holding/ Transaction /Financial shall be charged@ Rs. 40/ per request and other courier charges@ 30/-.
- (7) All charges, levied and debited, for demat account shall be transferred to your Trading Account.
- (8) All the aforesaid terms and conditions are subject to revision by WFSPL at 30 days of notice.
- (9) DP management reserves their right to modify/ cancel the scheme with prior intimation.
- (10) GST as Applicable.
- (11) I do not wish to OPT BSDA Scheme,

Demat account registered for SMART facility and Demat accounts under individual category and Demat accounts with only one Demat account of first holder within CDSL & NSDL where verified PAN of the first holder is available. This criteria has been applied in case of PAN exempt entities. Value of securities held in Demat account not exceeding 2 Lakhs.

6  Client Signature
First Holder
Signature/Thumb Impression of client

6  Client Signature
Second Holder
Signature/Thumb Impression of client

6  Client Signature
Third Holder
Signature/Thumb Impression of client

RUNNING ACCOUNT AUTHORISATION DOCUMENT

To,

Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

I/We are dealing through you as a client in Security Market and/or Future & Option segment and/or Currency segment and/or Commodity Derivative Market & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under.

1. I/We be required to make payments to and receive payments from you for bills raised by you. However since, I/We intend to have regular running account with you for all the segments i.e. cash and derivative of NSE, BSE, MCX. I/We do not want you to make payments to me/us on settlement-to settlement basis. You would however make payments due to me/us when specifically requested by me/us. The credit balance in my account can be adjusted against any debit in subsequent/previous settlement of any segments. I/We also request you to consider any credit balance in my/our account as margin money. I/We further authorize you to inter transfer, adjust balance between various segments i.e. NSE Cash, NSE Derivatives, BSE Cash, BSE Derivatives, MCX Commodity Derivative Segment.

2. I/We authorize you to retain the payout of securities with you for margin purpose unless you receive specific instructions from me/us for transferring to my/our demat account and if required, after adjusting any obligation of margins/funds etc., you may deliver the securities for my/our subsequent pay in obligation. You had very categorically insisted that you will deliver the securities strictly on settlement basis but to avoid practical difficulties and settlement defaults, I/We have made the above authorization.

3. You can collect mark to market, initial margin on daily basis by debiting my/our ledger account

4. I/We authorize you to utilize the margin of cash or securities lying with you in any form for placing it with stock exchanges, custodians or with any other institutions permitted by SEBI / Exchange.

5. You may send me/us contract notes, bills, various ledgers and statements through e-mail.

6. Although you had insisted on written instruction for placement/modifications/cancellation of orders in writing, but due to practical difficulties and in on line trading environment where the rates fluctuate within seconds, I/We request you to waive this condition and take my/our oral placement/modification/ cancellation and confirmation of orders and need not send me/us order trade confirmation/ modification/ cancellation slips generated from BOLT/ NEAT/ MCX TWS System

7. You had further instructed that as per the procedure of your company, you will note down the order detail like time of order, quantity order etc. which in my/our view, would take some time and I/We may not get the best prevailing market price as it is an order driven system based on time and price. I/We request you to modify the above procedure for me /us and immediately act on my/our oral instruction.

8. Further I/We here by authorize you to transfer, adjust, pass journal voucher for my/our cash margin, payout of funds, my/our running ledger balance, share margin, payout of shares between my/our various accounts held with you in same segment or different segments. I/We hereby will fully and unconditionally issue standing instructions for transfer of credit/debit balance from Mark to Market/ Premium Account to Margin Account and vice versa. I/We also hereby unconditionally issue standing instructions to you to maintain my/our our financial ledger account on a running basis. I/We hereby authorize you to withhold my/our funds pay-out(if any) towards margin as and when warranted and similarly debit my/our account with you for fresh Mark to Market differences, Margin etc. on a daily basis if required.

9. I/We hereby agree and undertake that in case of any failure on my/our part to meet pay-in/margin or any other liability, merely on your demand, you shall have the right to realize the same from my/our credits, securities, collaterals, balances, margins, deposits or all or any such other balances lying with you.

10. I/We have thoroughly understood all the terms and conditions of yours as well as of Stock Exchange, SEBI etc. and I/We have read Rules, Regulations, Bye Laws of Stock Exchanges, various Acts, Regulations of SEBI and I/We will update myself/ our on regular basis with all such rules through web-site, SEBI Manual or any other mode.

11. I/We hereby agree to avail members ITORS Service for Purchasing, Selling or otherwise dealing in securities whenever member offer Internet Trading Through Order Routing System(ITORS)service to its client as per Annexure I of NSE,BSE,MCX agreement's voluntary document clauses and rules regulations bylaws of respective Stock Exchange Commodity Exchange and SEBI as time to time.

12. I/we confirm you that I/we can revoke the abovementioned Authority or any Clause of the same at any point of time by sending instruction to you in writing or through Email.

13. I/We hereby also undertake that:

(a) I/We am/are doing business dealing only for me/us and not on account of any client.

(b) I/We have no to issue any further contract notes, whatsoever to anybody in relation to my/ our dealings with you.

(c) I/We further undertake that I/We shall not to effect any third party transaction during the course of my/our dealing through you. Further I/We undertake to indemnify you against any losses/damages suffered by you if I/We indulge in third party transaction.

1. You may square-off my/our outstanding position(s) due to any of the following :

2. (i) Any natural calamities like flood, war, earthquake, riots or similar situation beyond the control of WFSPL

(ii) Any restriction in relation to volume of trading outstanding business or margin stipulated by any exchange, clearing corporation or WFSPL;

(iii) Delay by me/us in meeting my/ours obligation;


14. I/We hereby undertake and confirm that we will not make any cash payment to the company or its representative under any circumstances and if so paid to any of the Representative/Employee /Authorized Person/Sub-broker, it shall not be the responsibility of WFSPL.

We clearly understand that the company never accepts money in cash.

15. I/We hereby agree to settle my/our securities/funds on a monthly basis quarterly basis, if not marked option will be quarterly.

7  Client Signature First Holder
Signature/Thumb Impression of client

7  Client Signature Second Holder
Signature/Thumb Impression of client

7  Client Signature Third Holder
Signature/Thumb Impression of client

VOLUNTARY TERMS AND CONDITIONS

Where as I/We the client intends to open securities trading accounts with Wiinance Financial Services Private Limited, (hereinafter referred as WFSPL) for the purpose of trading in Capital Market Segment, Futures & Options, Currency Derivative and Commodity Derivative Segments of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., Multi Commodity Exchange of India Ltd., National Commodity and Derivative Exchange Ltd. and the by SEBI recognized Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed or to be provided by WFSPL and also the additional services that may be made available by WFSPL from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions.

1. Authorization for delivering / pledging shares: The client understands and agrees that WFSPL may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the client.

2. Authorization to debit charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that WFSPL may levy charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account for any service rendered by WFSPL. and as may be required by the Client and as agreed by client under demat account tariff sheet, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with WFSPL.

3. Payment by cheque: Where payment by the client towards margin money is made through cheque/pay order/demand draft issued in favor of WFSPL, trades may be executed at the discretion of WFSPL. only upon realization of the funds of the said cheque/pay order/demand draft.

4. Lien: All securities, funds and/or properties of the Client as may be permitted by the Exchange(s) from time to time to be placed with WFSPL shall be subject to a lien for the payments or fulfillment of all undischarged liabilities or obligations of the Client and to sell and / or appropriate to it self all such securities, funds at its sole discretion and at any point of time.

5. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes WFSPL to transfer its debit / credit balances in the ledger account arising during the course of trades in any segment to its ledger account in any other segment or to transfer any stock purchased/lying in its account in any segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of WFSPL.

6. Disclaimer: The Client understands and agrees that neither WFSPL nor any other party disseminating any market data, message or information through the Website of WFSPL or in any other media shall be liable for:

- (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or
- (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or
- (c) Interruption in making available any such data, information or message, due to either any act or omission by WFSPL or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of WFSPL or any disseminating party.

7. Manner and Mode of placing orders/instructions: The Client may communicate orders and other instructions to WFSPL or the sub-broker/ authorize person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. Client may use any one or more of these means as may be permitted by the SEBI / Exchanges from time to time for placing orders.

8. Non-execution/delay/cancellation of Orders: The client hereby agrees that WFSPL or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading system generally in any manner due to one or the other reasons beyond the control of WFSPL or the Exchange.

9. Client not to act on representations of agents, employees: Client is aware that WFSPL has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of WFSPL.

10. Recording of Conversation: The client is aware and agrees that WFSPL may tape record the conversation between the client/client's representative and WFSPL, whether over the telephone or in person. WFSPL may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

11. Confidentiality of Client Details: WFSPL may disclose the client information to any person /entity as required under the law.

12. Disclosure as to Proprietary Trades by WFSPL: WFSPL carry out proprietary trades in addition to trades on behalf of its Clients.

13. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

14. Notices: All notices or communications issued by WFSPL shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

14.1 (a) By E-Mail or (b) By registered post (c) Under certificate of posting or (d) By express delivery post or (e) By SMS on registered mobile, or by telephonic call or (f) By affixing it on the door at the last known business or residential address or (g) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (h) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (i) By publishing it in the website of WFSPL wherein secured log-id and password to Client is provided or (j) By a notice posted on the notice board of the Exchange if no address be known or (k) By Post or fax or (l) By hand delivery or By Courier or any other mode as may be allowed for communication.

14.2 Not with standing anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between WFSPL and the Client may be made orally.

15. Electronic Contract Note (ECN):

15.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing WFSPL in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

15.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform WFSPL either in writing or via E-mail within 7 working days of the receipt of the same. WFSPL shall also publish the Contract Note on the Web site www.wiinance.com or on any other designated location specified by WFSPL from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, WFSPL may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advise WFSPL of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

16. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that WFSPL may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices/communications in electronic mode either at the email ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, WFSPL shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of WFSPL within seven working days from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

17. Electronic Payment Gateway for Net Banking Services: WFSPL may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with WFSPL. Client understands that WFSPL is only providing access to the electronic fund transfer facility provided by the banker of the Client through WFSPL's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising there out. Client undertakes not to make WFSPL a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that WFSPL's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

18. Electronic Payout: I/We hereby, irrevocable authorised Wiinance Financial services Private Limited to credit my/our bank account electronically i.e. through Net Banking, RTGS, IMPS, NEFT etc. for all the funds pay out due to me/us. I/We confirm that I/We am/are the first holder and bonafide owner of the given bank account/s. I/We am/are enclosing documentary proof in this regard for your reference and record. I/We am/are aware and understand the risks associated with electronic transfer of funds and hereby indemnify Wiinance Financial services Private Limited from all liabilities losses resulting either from delaying crediting of pay out a mount and / or non execution of such electronic pay out instruction for any reasons beyond the controlled of Wiinance Financial services Private Ltd. I/We have provided Canceled Cheque /Bank Statement with IFSC Code for you to do the needful.

19. Extra Ordinary Events and termination/suspension of trading facility: WFSPL will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication network or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond WFSPL's control resulting in non-execution, partial or incomplete execution of orders and the resulting financial loss, if any WFSPL may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

20. Amendments to the terms and conditions: WFSPL reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised term 21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this agreement, any contracts, dealings and transactions made subject to the bye law, Rules and Regulations of the Exchanges shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act. 1996 and the Bye laws and Regulations of the concerned Exchange. Provided ,however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the Bye laws, Rules and Regulations of the Exchange are not attracted and the client understands and agree that any application for invoking the arbitration/grievance redressal mechanisms or investor protection scheme of the Exchanges in relation to any such dispute, claims or differences shall be liable to be dismissed.

21. For delay payments / overdue payments: I am/ We are aware and agree that you may charge delay payment charges @ 1.5% per month or at such other rate as you determine at your absolute discretion.

22. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in anyway be affected or impaired thereby.

8  Client Signature First Holder

Signature/Thumb Impression of client

8  Client Signature Second Holder

Signature/Thumb Impression of client

8  Client Signature Third Holder

Signature/Thumb Impression of client

ELECTRONIC CONTRACT NOTE [ECN]- VOLUNTARY DECLARATION

[Client can revoke the same by giving 7 day's notice in writing]

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

Dear Sir,

I, a client with Member, M/s .Wiinance Financial Services Private Limited of NSE / BSE / MCX Exchange undertake as follows:

1.I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form

2.I am aware that the Member has to provide electronic contract note for my convenience on my request only. Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.

3.I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.

My email id is _____, this has been created by me and not by someone else.

4. I am aware that this declaration form should be in English or in any other Indian language known to me.

5.I am aware that non receipt of bounced mail notification by the member shall amount of delivery of the contract note at the above E-mail Id.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

Date: _____

Place: _____

9  Client Signature First Holder
Signature/Thumb Impression of client

I. FATCA/CRS DECLARATION/ CERTIFICATION FOR INDIVIDUAL:

Client Name:		First Holder	Second Holder	Third Holder
1.	Are you Indian Resident Person ? - Specify	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Country of Residence for Tax purpose			
3.	Specify Tax Identification No. / Others			

NOTE: If you are a U.S. person and/ or if your tax residency/ nationally/ citizenship is other than India, then please provide declaration/self certification under FATCA / CRS. This declaration form can be downloaded from www.wiinance.com or call at KYC Helpdesk at +91 976900400 or contact@wiinance.com

10  Client Signature First Holder
Signature/Thumb Impression of client

10  Client Signature Second Holder
Signature/Thumb Impression of client

10  Client Signature Third Holder
Signature/Thumb Impression of client

ADDITIONAL RISK DISCLOSURE DOCUMENT FOR OPTIONS TRADING (Only For MCX Commodity Exchange)

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

Subject: Additional Risk Disclosure document for Options Trading as per Circular MCX/INSP/244/2017 dated July 21, 2017 of Multi Commodity Exchange of India Limited.

Name: _____ **PAN:** _____ **UCC:** _____

I/ We undertake that, further to MY/ OUR KYC details I/ WE intend to trade in Commodity Options subject to regulatory requirements of the Exchange and SEBI from time to time. I/ WE further undertake that I/ WE have read, understood and accepted the Risks of Option Trading as mentioned below

Risk of Option holders:

1. An option holder runs the risk of losing the entire amount paid for the option in a relatively short period of time. This risk reflects the nature of an option as a wasting asset which becomes worthless when it expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires, to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.
2. The Exchanges may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

Risks of Option Writers:

1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the risks of losing substantial amount.
2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple 'long' or 'short' position.
3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

DECLARATION PURSUANT TO SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 DATED 04TH JANUARY, 2019

LIST OF COMMODITIES (MCX)							
COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE
Aluminium		Brass		Copper		Lead	
Nickel		Zinc		Barley		Cardamom	
Castor		Chana		Cocudakl		Cotton	
Dhaniya		Guargum		Guarseed		Jeeraunjha	
Kapas		Maize		Moong		Pedy	
Pepper		RM Seed		Sugar		Soyabean	
Turmeric		Wheat		Gold		Silver	
CPO		Crude Oil		Menthall Oil		Syoref	
Natural Gas							
All Commodities							

CATEGORY CODE REFERENCE TABLE AS PER SEBI

CATEGORY	FPOs/ Farmer	Value Chain Participants	Proprietary Traders (Only for Registered Stock/ Commodity) Broker	Domestic Financial Institutional Investor	Foreign Participants	Others
Category Code	1	2	3	4	5	6

I/We declare that the details furnished above are true and correct to the best of my knowledge and behalf and I/We undertake to inform you of my changes there in immediately. In case any of the above information is found to be false or un true of misleading or misrepresenting I/We am/are aware that I/We may be held liable for it

Place: Mumbai
Date:

11

Client
Signature

First Holder
 Signature/Thumb Impression of client

DECLARATION

To,
Wiinance Financial Services Private Limited

Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

Subject: Acknowledgment for additional documents to KYC and Client Registration Form

I have received understood and executed the documents listed below:

1./We that the particulars given by me/us are true to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/Stock Broker any change(s) in the details/ particulars mentioned by me I us in this form. I/We further agree that any false/ misleading information given by me I us or suppression of any material information will render my account liable for termination and suitable action.

2./ We further acknowledge the receipt of a separate booklet containing all the mandatory documents containing Rights & Obligation of Stock Broker, Authorised Person and Clients/ - including additional Rights and Obligations in case of Internet & Wireless Technology Based Trading Facility/ Risk Disclosure Document (ROD) / Guidance - DO's and DON'T's / Rights & Obligation of Beneficial Owner and Depository Participant/ Policies and Procedures of Trading Member along with the Rights & Obligation of NSE / BSE for Margin Trading Funding (MTF) and Terms and Conditions prescribed by Wiinance Financial Services Private Limited for MTF.

3 I./We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and tariff sheet. I/We have also informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.wiinance.com
I/We have received a copy of the duly completed documents viz. Client Registration Form (KYC) and also a copy of the Voluntary Documents executed by me/us.

12	 Client Signature	First Holder
Signature/Thumb Impression of client		

12	 Client Signature	Second Holder
Signature/Thumb Impression of client		

12	 Client Signature	Third Holder
Signature/Thumb Impression of client		

VOLUNTARY DOCUMENT

Subject: : Declaration of being mapped to the Authorised Person

Dear Sir,

I/We _____ residing at _____

hereby acknowledge and confirm that I/We have been introduced to you as a client by your Sub-broker / Authorised Person and accordingly, agree and confirm to be mapped with the Authorised Person, in so far as above Trading Account is concerned.

13	 Client Signature	First Holder
Signature/Thumb Impression of client		

13	 Client Signature	Second Holder
Signature/Thumb Impression of client		

13	 Client Signature	Third Holder
Signature/Thumb Impression of client		

VOLUNTARY DOCUMENT

To,
Wiinance Financial Services Private Limited
Corporate Off: 701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza, Mumbai 400052, Maharashtra
Regd. Off: Harsh Tower, Plot no 8, Main Gopalpura Bypass, Triveni Nagar Mode, Jaipur 302019, Rajasthan
Tel.: 022-69006000, Email: contact@wiinance.com

From,
Sub Broker name: _____
Address: _____

Dear Sir,

I/We hereby introduce to you a client Mr. / Mrs. / Ms. _____
having his/ her/ its address at _____

for dealing in the cash and / or derivatives segment of NSE / BSE / MCX. I /We hereby agree and undertake to keep you indemnified against any claims, losses, damages, costs, fee. in connection with the dealings of the client. I/We also have done an in-person verification of this client and have checked and verified all the documents of the client against the originals thereof. I/We vouch that client is actually the person holding himself/herself out to be and further the copies of documents submitted by the client are the true copies of originals thereof, which we have seen and verified. Thanking You,

Yours Faithfully

Signature of Sub-broker/ Authorised Person (With Stamp)

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED

BY STOCK BROKER TO CLIENT : All the clauses mentioned in the 'Rights and Obligations' Document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of device such as mobile, phone, laptop with data card etc. which use Internet Protocol(IP). The stock broker shall comply with all requirements applicable to Internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the Internet Based Trading facility or the facility for securities trading through use of wireless technology. The Stock Broker shall provide the Stock Broker's IBT Service to the Client, and the Client shall avail of Stock Broker's IBT Service, on subject to SEBI/ Exchanges Provisions and the terms and conditions specified on the Stock Broker's IBT Website provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The Stock Broker shall bring to the notice of client the features, risk, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the Stock Broker.

4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchange/SEBI.

5. The Client shall be responsible for keeping the User name and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whatsoever through the Stock Broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and declare of the stock broker.

6. The Client shall immediately notify the Stock Broker in writing if he forgets his password, discovers the security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the Transactions effected pursuant to such unauthorized use etc.

7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/Password in any manner whatsoever.

8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock Broker and the Exchange do not make any representation or warranty that the Stock Broker's IBT Service will be available to the Client at all times without any interruption.

The Client shall not have any claim against the Exchange or the Stock Broker on account of any suspension, interruption, non-availability or malfunctioning of the Stock Broker's IBT System or Service or the Exchange's service or system or non execution of his orders due to any link/system failure at the Client/Stock Brokers/Exchange end for any reason beyond the control of the Stock Broker/Exchanges

14	 Client Signature	First Holder
Signature/Thumb Impression of client		

14	 Client Signature	Second Holder
Signature/Thumb Impression of client		

14	 Client Signature	Third Holder
Signature/Thumb Impression of client		

OFFICE USE SECTION

Emp. Name:


Emp. Code:

Emp. Designation:

Date:

Place of Verification:

Name & Signature of the Authorised Signatory of Wiinace Financial Services Pvt. Ltd.

	Signature of Employee
---	-----------------------

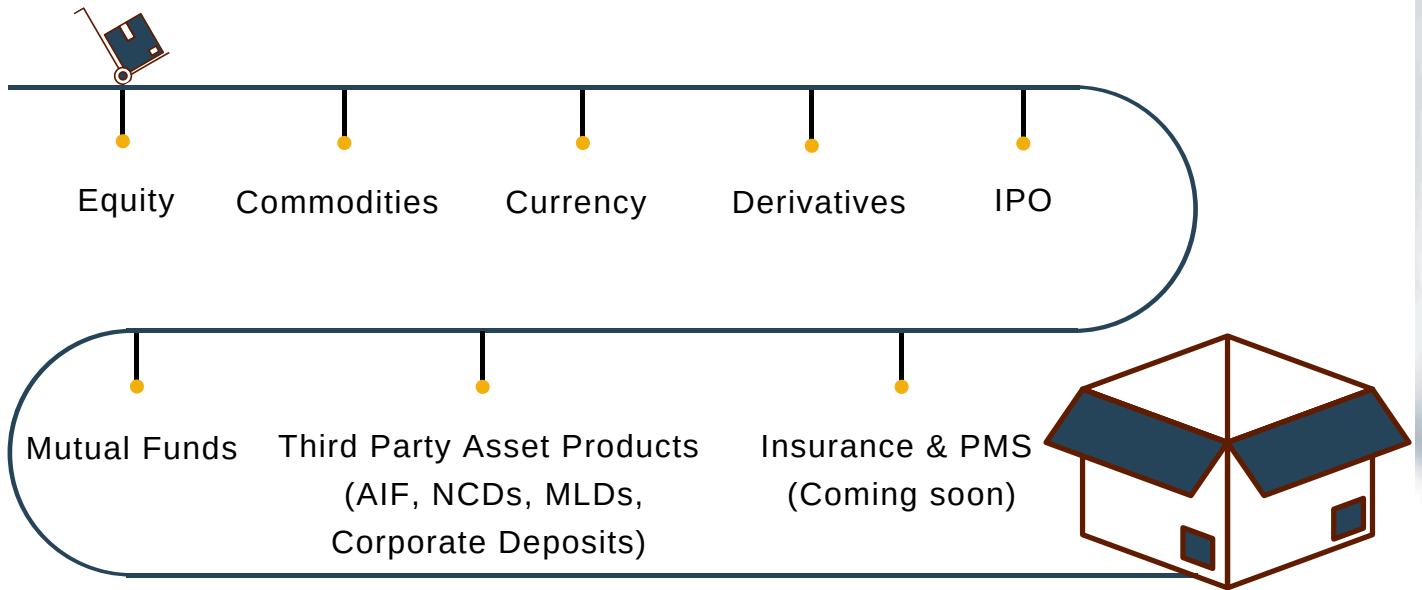
CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)

Valid Documents (Copies of all Documents to be Self Attested)

PAN Card	Account Holder & Joint Holder's (if any)		Please Tick
Photograph	One Colored Passport Size Photograph		
Additional Proof of identity (Any One)	a. PAN Card	D KRA Document used (Email ID & Phone Number to be provided on given KRA Form)	
	b. Voter ID		
	c. Valid Passport		
	d. Valid Driving Lincense		
	e. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Please specify)		
Proof Address (Any One) Permanent / Correspondence	a. Voter ID	D KRA Document used	
	b. Valid Passport		
	c. Valid Driving Lincense		
	d. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Please specify)		
Bank Proof with MICR / IFSC Code (1st Holder Only)	a. Bank Statement/ Bank Passbook with Cheque Leaf (not more than 3 months old)		
	b. Banker's Certificate on Letter Head of the Bank (ORIGINAL)		
	c. Cancelled Personalized Cheque Leaf		
Demat Proof (Any One) (1st Holder Only)	a. Client Master (CML Copy Duly Attested by DP)		
	b. DP Statement/ Transaction cum Holding Statement (Duly Attested by DP)		
Proof of Income (Any One) Mandatory for Derivatives Segments (1st Holder Only)	a. Copy of Latest ITR Acknowledgement		
	b. In case of Salary Income - Salary Slip, Copy of Form -		
	c. Net-worth Certificate (Not more than 1 Year Old)		
	d. Copy of Demat Account Holding Statement (not more than 3 months old)		
	b. Bank Account Statement for Last 6 Months Reflecting Income		

In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

OUR PRODUCTS AND SERVICES



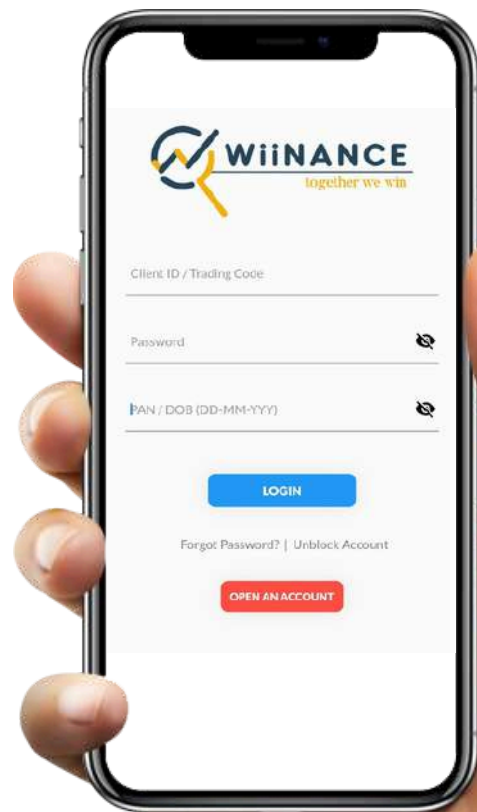
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Together We *Win*



Wiinance Financial Services Private Limited

Registered Address:

Harsh Tower, Plot no 8, Main Gopalpura Bypass,
Triveni Nagar Mode, Jaipur 302019, Rajasthan, India.

Correspondence Address:

701, Embassy Chamber, 3rd Road Khar (w), Opp Simran Plaza,
Mumbai 400052, India.
Phone: +91 9769004000

SEBI Registration No.:

BSE,NSE: INZ 000239038
CDSL: IN-DP-CDSL-275-2016 | DP ID:12083500
MCX TM No.: 57080 | Reg. No. ARN 263511

www.wiinance.com